

# Prudential Unit Trust Application Form FORM B

Authorised as a Discretionary Financial Services Provider in terms of the Financial Advisory and Intermediary Services Act, 2002



## Additional Compulsory Information for Legal Entities

Please tick the correct category of legal entity for which additional information is herewith submitted.

- Majority shareholder (Domestic company)  
 Majority shareholder (Foreign company)  
 Member (CC)

## Legal Persons

Local Company       Foreign Company       CC

## Company Details

Registered Name \_\_\_\_\_

Registration Number \_\_\_\_\_

Registered Address \_\_\_\_\_

Code

Trading Name \_\_\_\_\_

Postal Address \_\_\_\_\_

Code

## Authorised Signatory

Full Name \_\_\_\_\_

Capacity \_\_\_\_\_

## FICA Compliance

In terms of the Financial Intelligence Centre Act, 2001 ("FICA") we require a copy of the following documentation where applicable:

1. Certificate of incorporation (CM1)/ (CK1).
2. Certificate of change of name of company/ CC (CM9).
3. Notice of registered office and postal address of company/ CC (CM22).
4. Communication from SARS showing the income tax reference number and VAT reference number.

## Authorisation and Declaration

I/We warrant that the information contained herein is true and correct, and that, where this application is signed in a representative capacity, I/we have the necessary authority to do so and that this transaction is within my/our power. I/We understand that this application, read with the Deed, constitutes the entire agreement between Prudential Portfolio Managers Unit Trusts Ltd and myself/ourselves. I/we confirm that information pertaining to my/our account (including duplicate statements, valuations and other information that may be required from time to time) may be released to myself or the Financial Advisor identified in the application form in electronic or written format. I/We understand these Terms and Conditions. I/We herewith confirm that I/we have received the disclosure information as required by Section 3 of the Collective Investment Schemes Control Act, Act 45 of 2002, from Prudential Portfolio Managers Unit Trusts Ltd or the Financial Advisor prior to completing this application form.

I/we confirm that I/we have received the following information from either Prudential or my Financial Advisor:

- investment objectives
- the calculation of the NAV and dealing prices
- charges
- risk factors
- distribution of income accruals
- any additional information necessary to enable the investor to make an informed decision

\_\_\_\_\_  
Signature

Date